

Three Hierarchies of Simple Games Parameterized by "Resource" Parameters

Tatiana Gvozdeva

COMSOC 2010
Dusseldorf, Germany

joint work with
Lane Hemaspaandra and Arkadii Slinko

Simple Games

The set $P = \{1, 2, \dots, n\}$ denotes the set of players.

Definition

A **simple game** is a pair $G = (P, W)$, where W is a subset of the power set 2^P , different from \emptyset , which satisfies the monotonicity condition:

if $X \in W$ and $X \subset Y \subseteq P$, then $Y \in W$.

Simple Games

The set $P = \{1, 2, \dots, n\}$ denotes the set of players.

Definition

A **simple game** is a pair $G = (P, W)$, where W is a subset of the power set 2^P , different from \emptyset , which satisfies the monotonicity condition:

if $X \in W$ and $X \subset Y \subseteq P$, then $Y \in W$.

Coalitions from W are called **winning**. We also denote

$$L = 2^P \setminus W$$

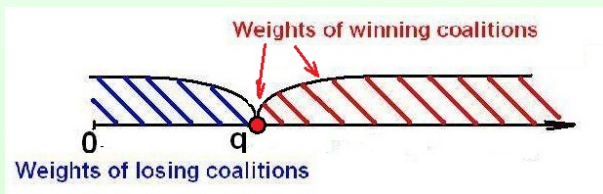
and call coalitions from L **losing**.

Weighted Majority Games

Definition

A simple game G is called a **weighted majority game** if there exists a weight function $w: P \rightarrow \mathcal{R}^+$, where \mathcal{R}^+ is the set of all non-negative reals, and a real number q , called **quota**, such that

$$X \in W \iff \sum_{i \in X} w_i \geq q.$$



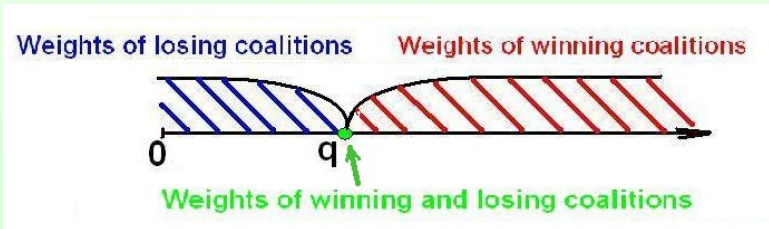
Rough weights

Definition

A simple game G is called **roughly weighted** if there exists a weight function $w: P \rightarrow \mathcal{R}^+$, not identically equal to zero, and a non-negative real number q , called **quota**, such that for $X \in 2^P$

$$\sum_{i \in X} w_i > q \implies X \in W,$$

$$\sum_{i \in X} w_i < q \implies X \in L.$$



Trading transform

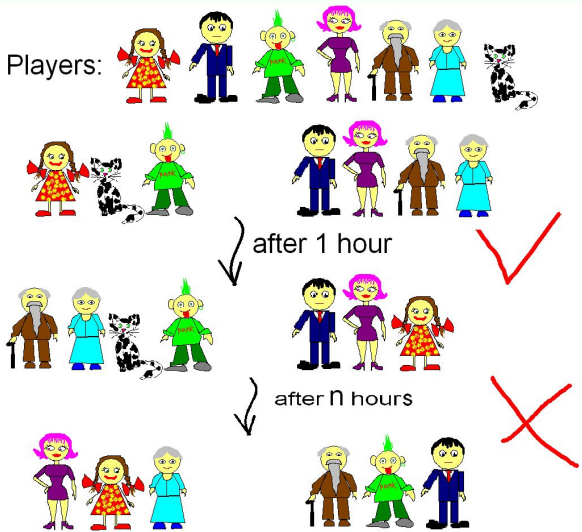
Definition

The sequence of coalitions

$$\mathcal{T} = (X_1, \dots, X_j; Y_1, \dots, Y_j)$$

is called a **trading transform** if the coalitions X_1, \dots, X_j can be converted into the coalitions Y_1, \dots, Y_j by rearranging players.

Example of a trading transform



A criterion of rough weightedness

Theorem (Gvozdeva-Slinko, 2009)

A game G is roughly weighted if for no j there exists a trading transform of the form

$$\mathcal{T} = (X_1, \dots, X_j, P; Y_1, \dots, Y_j, \emptyset), \quad (*)$$

where X_1, \dots, X_j are winning and Y_1, \dots, Y_j are loosing

A criterion of rough weightedness

Theorem (Gvozdeva-Slinko, 2009)

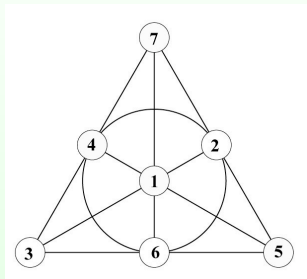
A game G is roughly weighted if for no j there exists a trading transform of the form

$$\mathcal{T} = (X_1, \dots, X_j, P; Y_1, \dots, Y_j, \emptyset), \quad (\star)$$

where X_1, \dots, X_j are winning and Y_1, \dots, Y_j are losing

A trading transform of the form (\star) is called a **potent**.

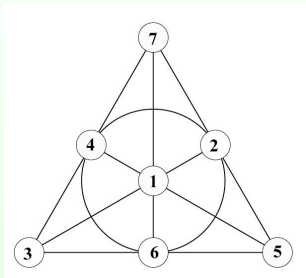
The Fano plane game



We take $P = \{1, 2, \dots, 7\}$ and the lines X_1, \dots, X_7 as minimal winning coalitions:

$\{1, 2, 3\}, \{1, 4, 5\}, \{1, 6, 7\}, \{2, 5, 7\},$
 $\{3, 4, 7\}, \{3, 5, 6\}, \{2, 4, 6\}.$

The Fano plane game



We take $P = \{1, 2, \dots, 7\}$ and the lines X_1, \dots, X_7 as minimal winning coalitions:

$\{1, 2, 3\}, \{1, 4, 5\}, \{1, 6, 7\}, \{2, 5, 7\},$
 $\{3, 4, 7\}, \{3, 5, 6\}, \{2, 4, 6\}.$

Then the sequence

$$\mathcal{T} = (X_1, \dots, X_7, P; X_1^c, \dots, X_7^c, \emptyset)$$

shows the absence of rough weights.

The \mathcal{A} -Hierarchy

Definition

Let q be a rational number. A game G belongs to the class \mathcal{A}_q of \mathcal{A} -hierarchy if G possesses a potent certificate of nonweightedness

$$(X_1, \dots, X_m; Y_1, \dots, Y_m),$$

with ℓ grand coalitions among X_1, \dots, X_m and ℓ empty coalitions among Y_1, \dots, Y_m , such that $q = \ell/m$. If α is irrational, we set $\mathcal{A}_\alpha = \bigcap_{q < \alpha} \mathcal{A}_q$.

The \mathcal{A} -Hierarchy

Definition

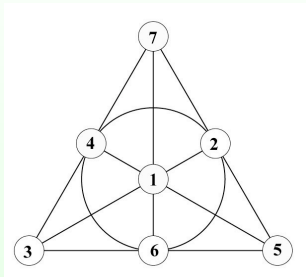
Let q be a rational number. A game G belongs to the class \mathcal{A}_q of \mathcal{A} -hierarchy if G possesses a potent certificate of nonweightedness

$$(X_1, \dots, X_m; Y_1, \dots, Y_m),$$

with ℓ grand coalitions among X_1, \dots, X_m and ℓ empty coalitions among Y_1, \dots, Y_m , such that $q = \ell/m$. If α is irrational, we set $\mathcal{A}_\alpha = \bigcap_{q < \alpha} \mathcal{A}_q$.

The parameter of the \mathcal{A} -Hierarchy reflects the balance of power between small and large coalitions; **the larger $\alpha \in (0, \frac{1}{2})$ the more powerful some of the small coalitions are.**

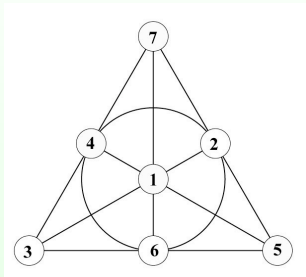
The Fano plane game



We take $P = \{1, 2, \dots, 7\}$ and the lines X_1, \dots, X_7 as minimal winning coalitions:

$\{1, 2, 3\}, \{1, 4, 5\}, \{1, 6, 7\}, \{2, 5, 7\},$
 $\{3, 4, 7\}, \{3, 5, 6\}, \{2, 4, 6\}.$

The Fano plane game



We take $P = \{1, 2, \dots, 7\}$ and the lines X_1, \dots, X_7 as minimal winning coalitions:

$\{1, 2, 3\}, \{1, 4, 5\}, \{1, 6, 7\}, \{2, 5, 7\},$
 $\{3, 4, 7\}, \{3, 5, 6\}, \{2, 4, 6\}.$

Then the sequence

$$\mathcal{T} = (X_1, \dots, X_7, P; X_1^c, \dots, X_7^c, \emptyset)$$

shows the absence of rough weights.

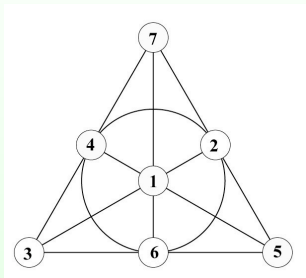
The Fano plane game belongs to the class $\mathcal{A}_{1 \setminus 8}$.

The \mathcal{A} -Hierarchy

Theorem

If $0 < \alpha < \beta < \frac{1}{2}$, then $\mathcal{A}_\alpha \not\supseteq \mathcal{A}_\beta$.

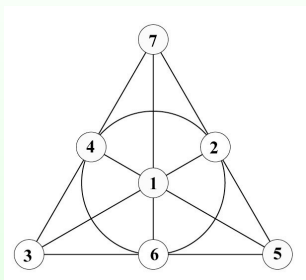
Two thresholds for the Fano plane game



We take $P = \{1, 2, \dots, 7\}$ and the lines X_1, \dots, X_7 as minimal winning coalitions:

$\{1, 2, 3\}, \{1, 4, 5\}, \{1, 6, 7\}, \{2, 5, 7\},$
 $\{3, 4, 7\}, \{3, 5, 6\}, \{2, 4, 6\}.$

Two thresholds for the Fano plane game



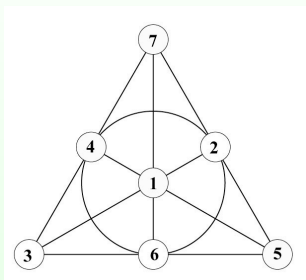
We take $P = \{1, 2, \dots, 7\}$ and the lines X_1, \dots, X_7 as minimal winning coalitions:

$\{1, 2, 3\}, \{1, 4, 5\}, \{1, 6, 7\}, \{2, 5, 7\},$
 $\{3, 4, 7\}, \{3, 5, 6\}, \{2, 4, 6\}.$

Then we can assign weight one to every player and tell, that

- Coalitions whose weight is > 4 are winning.

Two thresholds for the Fano plane game



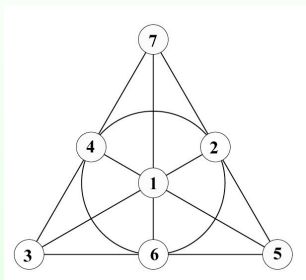
We take $P = \{1, 2, \dots, 7\}$ and the lines X_1, \dots, X_7 as minimal winning coalitions:

$\{1, 2, 3\}, \{1, 4, 5\}, \{1, 6, 7\}, \{2, 5, 7\},$
 $\{3, 4, 7\}, \{3, 5, 6\}, \{2, 4, 6\}.$

Then we can assign weight one to every player and tell, that

- Coalitions whose weight is > 4 are winning.
- Coalitions whose weight is < 3 are losing.

Two thresholds for the Fano plane game



We take $P = \{1, 2, \dots, 7\}$ and the lines X_1, \dots, X_7 as minimal winning coalitions:

$\{1, 2, 3\}, \{1, 4, 5\}, \{1, 6, 7\}, \{2, 5, 7\},$
 $\{3, 4, 7\}, \{3, 5, 6\}, \{2, 4, 6\}.$

Then we can assign weight one to every player and tell, that

- Coalitions whose weight is > 4 are winning.
- Coalitions whose weight is < 3 are losing.
- Coalition whose weight is 3 is winning if it is a line.
- Coalition whose weight is 4 is winning if it contains a line.

\mathcal{B} -Hierarchy

Definition

A simple game $G = (P, W)$ belongs to \mathcal{B}_k if there exist real numbers $0 < q_1 \leq q_2 \leq \dots \leq q_k$, called thresholds, and a weight function $w: P \rightarrow \mathbb{R}^{\geq 0}$ such that

\mathcal{B} -Hierarchy

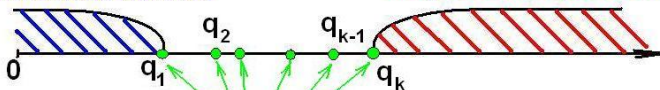
Definition

A simple game $G = (P, W)$ belongs to \mathcal{B}_k if there exist real numbers $0 < q_1 \leq q_2 \leq \dots \leq q_k$, called thresholds, and a weight function $w: P \rightarrow \mathbb{R}^{\geq 0}$ such that

- (a) if $\sum_{i \in X} w(i) > q_k$, then X is winning,

Weights of losing coalitions

Weights of winning coalitions



Weights of winning and losing coalitions

\mathcal{B} -Hierarchy

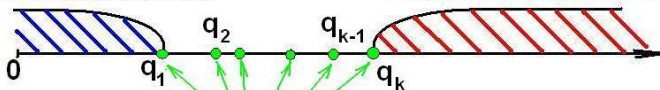
Definition

A simple game $G = (P, W)$ belongs to \mathcal{B}_k if there exist real numbers $0 < q_1 \leq q_2 \leq \dots \leq q_k$, called thresholds, and a weight function $w: P \rightarrow \mathbb{R}^{\geq 0}$ such that

- (a) if $\sum_{i \in X} w(i) > q_k$, then X is winning,
- (b) if $\sum_{i \in X} w(i) < q_1$, then X is losing,

Weights of losing coalitions

Weights of winning coalitions



Weights of winning and losing coalitions

\mathcal{B} -Hierarchy

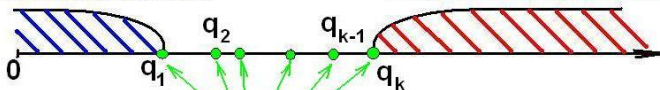
Definition

A simple game $G = (P, W)$ belongs to \mathcal{B}_k if there exist real numbers $0 < q_1 \leq q_2 \leq \dots \leq q_k$, called thresholds, and a weight function $w: P \rightarrow \mathbb{R}^{\geq 0}$ such that

- (a) if $\sum_{i \in X} w(i) > q_k$, then X is winning,
- (b) if $\sum_{i \in X} w(i) < q_1$, then X is losing,
- (c) if $q_1 \leq \sum_{i \in X} w(i) \leq q_k$, then $w(X) = \sum_{i \in X} w(i) \in \{q_1, \dots, q_k\}$.

Weights of losing coalitions

Weights of winning coalitions



Weights of winning and losing coalitions

\mathcal{B} -Hierarchy

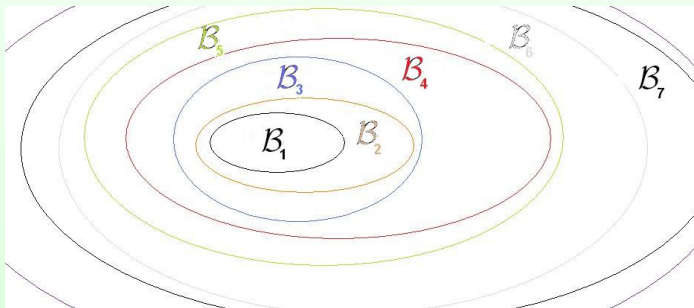
Theorem

For every natural number $k \in \mathbb{N}^+$, there exists a game in $\mathcal{B}_{k+1} \setminus \mathcal{B}_k$.

\mathcal{B} -Hierarchy

Theorem

For every natural number $k \in \mathbb{N}^+$, there exists a game in $\mathcal{B}_{k+1} \setminus \mathcal{B}_k$.



A faculty vote

If neither side controls more than a 2/3 majority (calculated in faculty members or their grant dollars), then the Dean would decide the outcome as he wished.



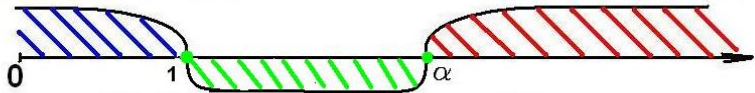
C-Hierarchy

Definition

We say that a simple game $G = (P, W)$ is in the class \mathcal{C}_α , $\alpha \in \mathbb{R}^{\geq 1}$, if there exists a weight function $w: P \rightarrow \mathbb{R}^{\geq 0}$ such that for $X \in 2^P$ the condition $w(X) > \alpha$ implies that X is winning, and $w(X) < 1$ implies X is losing.

Weights of losing coalitions

Weights of winning coalitions



Weights of winning and losing coalitions

A faculty vote

If neither side controls more than a 2/3 majority (calculated in faculty members or their grant dollars), then the Dean would decide the outcome as he wished.



A faculty vote

If neither side controls more than a $2/3$ majority (calculated in faculty members or their grant dollars), then the Dean would decide the outcome as he wished.



This game is in the class $C_{\frac{2}{3}}^{\frac{2}{3}} = C_2$

\mathcal{C} -Hierarchy

Proposition

If $\alpha \leq \beta$, then $\mathcal{C}_\alpha \subseteq \mathcal{C}_\beta$.

\mathcal{C} -Hierarchy

Proposition

If $\alpha \leq \beta$, then $\mathcal{C}_\alpha \subseteq \mathcal{C}_\beta$.

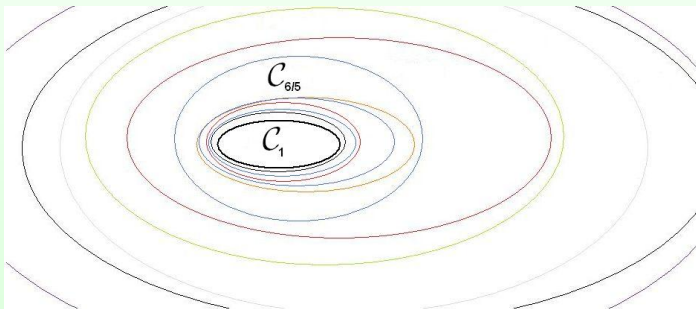
Proposition

Let c and d be natural numbers with $1 < d < c$. Then there is a simple game G that is in $\mathcal{C}_{c/d}$, but that for each $\alpha < c/d$ is not in \mathcal{C}_α .

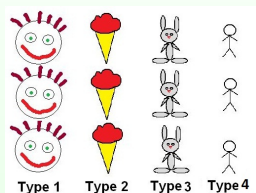
\mathcal{C} -Hierarchy

Theorem

For each $1 \leq \alpha < \beta$, it holds that $\mathcal{C}_\alpha \subsetneq \mathcal{C}_\beta$.

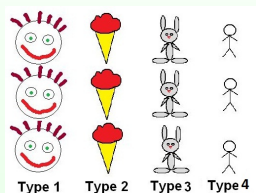


Idea of the proof



Define a game $G = (P, W)$, where $P = \{1, 2, \dots, 12\}$. We have 4 **types** of players with 3 **players** in each type.

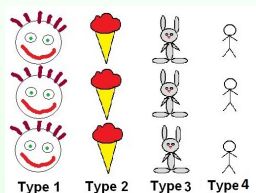
Idea of the proof



Define a game $G = (P, W)$, where $P = \{1, 2, \dots, 12\}$. We have 4 **types** of players with 3 **players** in each type.

A **winning coalition** is any set with more than 5 players and also any set having 3 players of the same type.

Idea of the proof

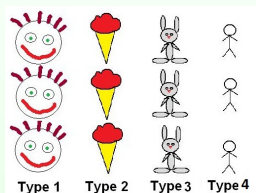


Define a game $G = (P, W)$, where $P = \{1, 2, \dots, 12\}$. We have 4 **types** of players with 3 **players** in each type.

A **winning coalition** is any set with more than 5 players and also any set having 3 players of the same type.

Assign weight $\frac{1}{3}$ to every player, then G belongs to $\mathcal{C}_{\frac{4}{3}}$.

Idea of the proof



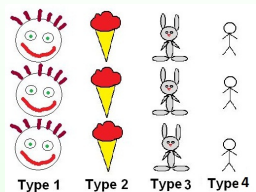
Define a game $G = (P, W)$, where $P = \{1, 2, \dots, 12\}$. We have 4 **types** of players with 3 **players** in each type.

A **winning coalition** is any set with more than 5 players and also any set having 3 players of the same type.

Assign weight $\frac{1}{3}$ to every player, then G belongs to $\mathcal{C}_{\frac{4}{3}}$.

Assume, that $G \in \mathcal{C}_\alpha$ for some $\alpha < \frac{4}{3}$, i.e., there is a weight function realizing this interval.

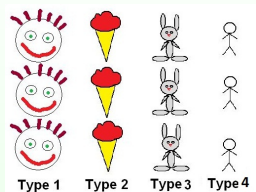
Idea of the proof



We have 4 **types** of players with 3 **players** in each. A **winning coalition** is any set with more than 5 players and also any set having 3 players of the same type.

Let $\text{Max} \left(\begin{pmatrix} \text{rabbit} \\ \text{rabbit} \\ \text{rabbit} \end{pmatrix} \right)$ be the element with the biggest weight of the type.

Idea of the proof

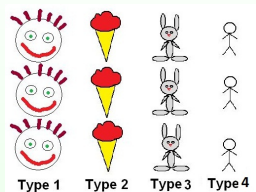


We have 4 **types** of players with 3 **players** in each. A **winning coalition** is any set with more than 5 players and also any set having 3 players of the same type.

Let $\text{Max} \left(\begin{array}{c} \text{rabbit} \\ \text{rabbit} \\ \text{rabbit} \end{array} \right)$ be the element with the biggest weight of the type.

The weight of $\text{Max} \left(\begin{array}{c} \text{rabbit} \\ \text{rabbit} \\ \text{rabbit} \end{array} \right)$ is at least $\frac{1}{3}$.

Idea of the proof



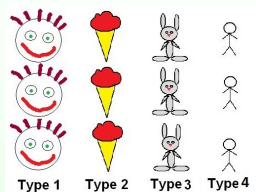
We have 4 **types** of players with 3 **players** in each. A **winning coalition** is any set with more than 5 players and also any set having 3 players of the same type.

Let $\text{Max} \left(\begin{array}{c} \text{rabbit} \\ \text{rabbit} \\ \text{rabbit} \end{array} \right)$ be the element with the biggest weight of the type.

The weight of $\text{Max} \left(\begin{array}{c} \text{rabbit} \\ \text{rabbit} \\ \text{rabbit} \end{array} \right)$ is at least $\frac{1}{3}$.

$$\text{Max} \left(\begin{array}{c} \text{smiley} \\ \text{smiley} \\ \text{smiley} \end{array} \right), \text{Max} \left(\begin{array}{c} \text{ice cream} \\ \text{ice cream} \\ \text{ice cream} \end{array} \right), \text{Max} \left(\begin{array}{c} \text{rabbit} \\ \text{rabbit} \\ \text{rabbit} \end{array} \right), \text{Max} \left(\begin{array}{c} \text{stick figure} \\ \text{stick figure} \\ \text{stick figure} \end{array} \right)$$

Idea of the proof



We have 4 **types** of players with 3 **players** in each. A **winning coalition** is any set with more than 5 players and also any set having 3 players of the same type.

Let $\text{Max} \left(\begin{array}{c} \text{rabbit} \\ \text{rabbit} \\ \text{rabbit} \end{array} \right)$ be the element with the biggest weight of the type.

The weight of $\text{Max} \left(\begin{array}{c} \text{rabbit} \\ \text{rabbit} \\ \text{rabbit} \end{array} \right)$ is at least $\frac{1}{3}$.

Y is losing and it has weight $w(Y) \geq \frac{4}{3}$.

$$\text{Max} \left(\begin{array}{c} \text{smiley} \\ \text{smiley} \\ \text{smiley} \end{array} \right), \text{Max} \left(\begin{array}{c} \text{ice cream} \\ \text{ice cream} \\ \text{ice cream} \end{array} \right), \text{Max} \left(\begin{array}{c} \text{rabbit} \\ \text{rabbit} \\ \text{rabbit} \end{array} \right), \text{Max} \left(\begin{array}{c} \text{stick} \\ \text{stick} \\ \text{stick} \end{array} \right)$$

Degrees of Roughness of Games with Small Number of Players

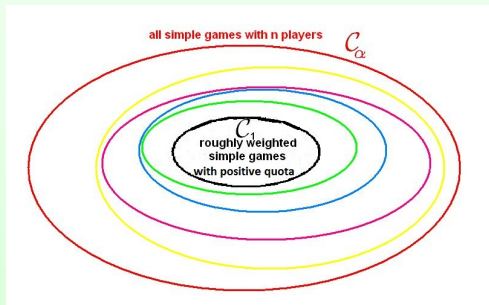
Definition

The **n -th spectrum** is the set of all values of α , such that there is a simple game with n players in \mathcal{C}_α which does not belong to \mathcal{C}_β for all $\beta < \alpha$.

Degrees of Roughness of Games with Small Number of Players

Definition

The **n -th spectrum** is the set of all values of α , such that there is a simple game with n players in \mathcal{C}_α which does not belong to \mathcal{C}_β for all $\beta < \alpha$.



Degrees of Roughness of Games with Small Number of Players

Definition

The **n -th spectrum** is the set of all values of α , such that there is a simple game with n players in \mathcal{C}_α which does not belong to \mathcal{C}_β for all $\beta < \alpha$.

Degrees of Roughness of Games with Small Number of Players

Definition

The **n -th spectrum** is the set of all values of α , such that there is a simple game with n players in \mathcal{C}_α which does not belong to \mathcal{C}_β for all $\beta < \alpha$.

Proposition

$$\text{Spec}(5) = \left\{ 1, \frac{6}{5}, \frac{7}{6}, \frac{8}{7}, \frac{9}{8} \right\}.$$

Degrees of Roughness of Games with Small Number of Players

Definition

The **n -th spectrum** is the set of all values of α , such that there is a simple game with n players in \mathcal{C}_α which does not belong to \mathcal{C}_β for all $\beta < \alpha$.

Proposition

$$\text{Spec}(5) = \left\{ 1, \frac{6}{5}, \frac{7}{6}, \frac{8}{7}, \frac{9}{8} \right\}.$$

Proposition

The 6th spectrum $\text{Spec}(6)$ is the set

$$\left\{ 1, \frac{3}{2}, \frac{4}{3}, \frac{5}{4}, \frac{6}{5}, \frac{7}{6}, \frac{8}{7}, \frac{9}{7}, \frac{8}{8}, \frac{9}{9}, \frac{10}{9}, \frac{11}{9}, \frac{11}{10}, \frac{12}{11}, \frac{13}{10}, \frac{13}{11}, \frac{13}{12}, \frac{14}{11}, \frac{14}{13}, \frac{15}{13}, \frac{15}{14}, \frac{16}{13}, \frac{16}{15}, \frac{17}{13}, \frac{17}{14}, \frac{17}{15}, \frac{17}{16}, \frac{18}{17} \right\}$$

Thanks!